



Patent
Attorney's Docket No. 032751-050

#5

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Re Patent Application of)

Karola RITTNER et al)

Serial No.: 09/865,553)

Filed: May 29, 2001)

For: COMPLEX FOR TRANSFERRING)
AN ANIONIC SUBSTANCE OF)
INTEREST INTO A CELL)

Group Art Unit: 1633

Examiner: Unassigned

ATTENTION: BOX SEQUENCE

TRANSMITTAL LETTER FOR MISSING PARTS OF APPLICATION

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

In complete response to the Notice to File Missing Parts of Nonprovisional Application
dated August 2, 2001, enclosed please find:

- ☒ [X] A copy of the "Sequence Listing" in computer readable form in compliance with
37 C.F.R. §§1.823(b) and 1.824.
- ☒ [X] A statement that the content of the paper and computer readable copies are the
same as set forth in 37 C.F.R. §1.821(f).

The Commissioner is hereby authorized to charge any additional fees under 37 C.F.R.
§§1.16, 1.17, and 1.21 that may be required by this paper, and to credit any overpayment to Deposit
Account No. 02-4800. A duplicate copy of this paper is enclosed.

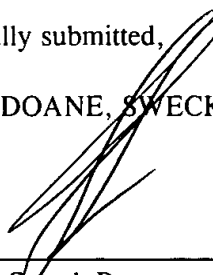
P.O. Box 1404
Alexandria, VA 22313-1404
(703) 836-6620

Date: 1 October 2001

Respectfully submitted,

BURNS, DOANE, SWECKER & MATHIS, L.L.P.

By


Teresa Stanek Rea
Registration No. 30,427

SECTOR
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Patent
Attorney's Docket No. 032751-050

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of)
Karola RITTNER et al) Group Art Unit: 1633
Application No.: 09/865,553) Examiner: Unassigned
Filed: May 29, 2001)
For: COMPLEX FOR TRANSFERRING AN)
ANIONIC SUBSTANCE OF INTEREST)
INTO A CELL)

TRANSMITTAL LETTER FOR MISSING PARTS OF APPLICATION

BOX: MISSING PART

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

In complete response to the Notice to File Missing Parts of Application Under 37 C.F.R. § 1.53(b) dated August 2, 2001, enclosed please find:

- ☐ a Combined Declaration and Power of Attorney signed by the inventor(s) and the surcharge of ☐ \$65.00 (205) ☐ \$130.00 (105) as set forth in 37 C.F.R. § 1.16(e);
- ☐ Note that the inventor(s) identified on the currently filed Combined Declaration and Power of Attorney are different than listed on the application filing papers.
- ☐ a Request for Refund;
- ☐ a Petition for Extension of Time;
- ☐ a verified English translation of the Application, and the \$130.00 (139) fee as set forth in 37 C.F.R. § 1.17(k);
- ☐ an Assignment document and a separate check for the \$40.00 (581) Assignment recordation fee;
- ☐ drawings for publication;
- ☒ other Sequence Listing, 37 CFR §§1.821-1.825 Declaration, Reply and Claim for Convention Priority;

(05/01)

Transmittal Letter for Missing Parts of Application

Attorney's Docket No. 032751-050

Application No. 09/865,553

Page 2

- ☐ a check in the amount of \$ _____ for the fee due for missing parts; and
- ☐ charge \$ _____ to Deposit Account No. 02-4800 for the fee due for missing parts.
- ☐ Small entity status is hereby claimed.

The Commissioner is hereby authorized to charge any appropriate fees under 37 C.F.R. §§ 1.16, 1.17 and 1.21 that may be required by this paper, and to credit any overpayment, to Deposit Account No. 02-4800. This paper is submitted in duplicate.

Respectfully submitted,

BURNS, DOANE, SWECKER & MATHIS, L.L.P.

P.O. Box 1404
Alexandria, Virginia 22313-1404
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Date: October 1, 2001



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/865,553	05/29/2001	Karola Rittner	032751-050

CONFIRMATION NO. 4015

FORMALITIES LETTER



OC00000006377992

Norman H. Stepno
BURNS, DOANE, SWECKER & MATHIS, L.L.P.
P.O. Box 1404
Alexandria, VA 22313-1404

Date Mailed: 08/02/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

10/04/2001 BABRAHA1 00000120 024800 09865553

FILED UNDER 37 CFR 1.53(b)

Adjustment date: 12/12/2001 YGIZAW
10/04/2001 BABRAHA1 00000120 024800
01 FC:105 130.00 CR

01 FC:105 130.00 CH

09865553

Filing Date Granted

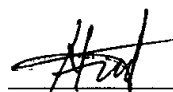
An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**
- This application does not contain a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). Applicant must provide such statement. If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000).
- A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 C.F.R. 1.821(e). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov

*A copy of this notice **MUST** be returned with the reply.*



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Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE